

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U65910GJ1992PLC017544

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AABCM5192K

(ii) (a) Name of the company

MARWADI SHARES AND FINAN

(b) Registered office address

Office No 1 MCX Office, 17th Floor, Unit No. 1 & 2
GIFT ONE Tower, S. C. Road, Zone- 5, GIFT CITY,
Gandhinagar
Gandhinagar
Gujarat
382255

(c) *e-mail ID of the company

msfl@marwadigroup.in

(d) *Telephone number with STD code

02816192000

(e) Website

www.marwadionline.com

(iii) Date of Incorporation

01/05/1992

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(b) CIN of the Registrar and Transfer Agent

U99999MH1994PTC076534

Pre-fill

Name of the Registrar and Transfer Agent

BIGSHARE SERVICES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

E-3 ANSA INDUSTRIAL ESTATESAKI VIHAR ROAD
SAKINAKA

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K7	Financial Advisory, brokerage and Consultancy Services	62.19
2	K	Financial and insurance Service	K8	Other financial activities	37.81

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	MARWADI COMMODITY BROK	U74992GJ2001PTC040221	Subsidiary	100
2	MARWADI STOCK BROKING LIM	U67190GJ2007PLC050150	Subsidiary	100
3	MARWADI INTERNATIONAL SE	U65929GJ2017PLC095247	Subsidiary	51
4	MARWADI FINLEASE PRIVATE L	U65923GJ1996PTC031401	Subsidiary	0
5	MARWADI BULLIONS PRIVATE	U52393GJ2002PTC041678	Subsidiary	0
6	MARWADI CHANDARANA INTE	U67120GJ2018PTC103598	Associate	50

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	25,000,000	9,972,481	9,972,481	9,972,481
Total amount of equity shares (in Rupees)	250,000,000	99,724,810	99,724,810	99,724,810

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares				
Number of equity shares	25,000,000	9,972,481	9,972,481	9,972,481
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	250,000,000	99,724,810	99,724,810	99,724,810

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						

At the beginning of the year	0	9,972,481	9972481	99,724,810	99,724,810	
Increase during the year	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify <input type="text"/>						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <input type="text"/>						
At the end of the year	0	9,972,481	9972481	99,724,810	99,724,810	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify <input type="text"/>						
Decrease during the year	0	0	0	0	0	0

i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil
 [Details being provided in a CD/Digital Media] Yes No Not Applicable

Separate sheet attached for details of transfers Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		<input type="text"/>
Date of registration of transfer (Date Month Year)		<input type="text"/>
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock

Number of Shares/ Debentures/ Units Transferred	<input style="width:95%;" type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input style="width:95%;" type="text"/>
Ledger Folio of Transferor <input style="width:95%;" type="text"/>			
Transferor's Name	<input style="width:95%;" type="text"/>	<input style="width:95%;" type="text"/>	<input style="width:95%;" type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee <input style="width:95%;" type="text"/>			
Transferee's Name	<input style="width:95%;" type="text"/>	<input style="width:95%;" type="text"/>	<input style="width:95%;" type="text"/>
	Surname	middle name	first name
Date of registration of transfer (Date Month Year) <input style="width:95%;" type="text"/>			
Type of transfer	<input style="width:95%;" type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input style="width:95%;" type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input style="width:95%;" type="text"/>
Ledger Folio of Transferor <input style="width:95%;" type="text"/>			
Transferor's Name	<input style="width:95%;" type="text"/>	<input style="width:95%;" type="text"/>	<input style="width:95%;" type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee <input style="width:95%;" type="text"/>			
Transferee's Name	<input style="width:95%;" type="text"/>	<input style="width:95%;" type="text"/>	<input style="width:95%;" type="text"/>
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0

Particulars	Number of units	Nominal value per unit	Total value
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

1,587,492,111

(ii) Net worth of the Company

3,460,485,592

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	5,102,429	51.17	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	

	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	4,600,763	46.13	0	
10.	Others	0	0	0	
	Total	9,703,192	97.3	0	0

Total number of shareholders (promoters)

9

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	132,326	1.33	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	

6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	136,963	1.37	0	
10.	Others	0	0	0	
	Total	269,289	2.7	0	0

Total number of shareholders (other than promoters)

2

**Total number of shareholders (Promoters+Public/
Other than promoters)**

11

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	11	12
Members (other than promoters)	2	2
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	5	1	6	1	34.11	0
B. Non-Promoter	0	2	0	2	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	2	0	2	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0

(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	5	3	6	3	34.11	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

12

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
KETAN HARKISHAN M	00088018	Managing Director	850,410	
DEVEN HARKISHAN M	00016141	Whole-time director	850,410	
SANDIP HARKISHAN M	00088051	Whole-time director	850,409	
MIRA DEVEN MARWAL	00088069	Whole-time director	850,400	
JEET KETANBHAI MAHAJAN	07781822	Whole-time director	0	
DHRUV SANDEEP MAHAJAN	09012680	Whole-time director	0	
JUHI DEVENBHAI MAHAJAN	08643036	Director	0	
KANTILAL KALIDAS KHOSLA	01957569	Director	0	
NARESHKUMAR DILAKSHI	07657264	Director	0	
TUSHIT GHANSHYAMJI	AGUPM1263D	Company Secretary	0	
SANJAY HAKEMCHAND	AAAPT4495Q	CFO	0	
KEVAL MEGHJI BHANI	AKVPB0700D	CEO	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

4

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
JUHI DEVENBHAI MAHAJAN	08643036	Director	28/09/2020	Appointment (Regularisation)
KEVAL MEGHJI BHANI	AKVPB0700D	CEO	17/11/2020	Appointment
DHRUV SANDEEP MAHAJAN	09012680	Director	19/01/2021	Appointment
DHRUV SANDEEP MAHAJAN	09012680	Whole-time director	22/01/2021	Change in designation

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

7

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Extra-ordinary General Mee	08/05/2020	11	5	63.19
Extra-ordinary General Mee	16/05/2020	11	5	63.19
Extra-ordinary General Mee	22/06/2020	11	9	97.3
Extra-ordinary General Mee	03/08/2020	11	9	97.3
Annual General Meeting	28/09/2020	11	9	97.3
Extra-ordinary General Mee	12/11/2020	11	9	97.3
Extra-ordinary General Mee	09/01/2021	11	9	97.3

B. BOARD MEETINGS

*Number of meetings held

23

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	22/04/2020	8	8	100
2	06/05/2020	8	8	100
3	15/05/2020	8	8	100
4	18/05/2020	8	8	100
5	17/06/2020	8	8	100
6	30/06/2020	8	8	100
7	14/07/2020	8	8	100
8	20/07/2020	8	8	100
9	27/07/2020	8	8	100

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
10	31/07/2020	8	8	100
11	13/08/2020	8	8	100
12	25/08/2020	8	8	100

C. COMMITTEE MEETINGS

Number of meetings held

13

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	13/04/2020	3	3	100
2	Audit Committee	16/05/2020	3	3	100
3	Audit Committee	09/06/2020	3	3	100
4	Audit Committee	30/07/2020	3	3	100
5	Audit Committee	24/08/2020	3	3	100
6	Audit Committee	11/11/2020	3	3	100
7	Audit Committee	21/01/2021	3	3	100
8	Audit Committee	24/03/2021	3	3	100
9	Corporate Soc	21/08/2020	3	3	100
10	Corporate Soc	24/03/2021	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	29/09/2021
								(Y/N/NA)
1	KETAN HARK	23	23	100	13	13	100	Yes
2	DEVEN HARK	23	23	100	0	0	0	No
3	SANDIP HARI	23	23	100	0	0	0	Yes

4	MIRA DEVEN	23	23	100	0	0	0	No
5	JEET KETANBHAI	23	20	86.96	0	0	0	Yes
6	DHRUV SANDEEP	3	3	100	0	0	0	Yes
7	JUHI DEVENE	23	23	100	0	0	0	No
8	KANTILAL KADAM	23	23	100	13	13	100	Yes
9	NARESHKUMAR	23	23	100	13	13	100	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

5

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	KETAN HARKISHA	Managing Director	3,000,000	0	0	0	3,000,000
2	DEVEN HARKISHA	Whole-time Director	3,000,000	0	0	0	3,000,000
3	SANDIP HARKISHA	Whole-time Director	3,000,000	0	0	0	3,000,000
4	JEET KETANBHAI	Whole-time Director	2,400,000	0	0	0	2,400,000
5	DHRUV SANDEEP	Whole-time Director	232,258	0	0	0	232,258
	Total		11,632,258	0	0	0	11,632,258

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	TUSHIT GHANSHYAM	Company Secretary	1,217,118	0	0	0	1,217,118
2	SANJAY HAKEMCHAND	CFO	2,331,910	0	0	0	2,331,910
3	KEVAL MEGHJI BHAI	CEO	3,722,225	0	0	0	3,722,225
	Total		7,271,253	0	0	0	7,271,253

Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

3

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status
Marwadi Shares al	National Stock Exc	03/03/2020	Penalty circular no.	51000	Penalty Paid
Marwadi Shares al	National Stock Exc	03/03/2020	Penalty circular no.	357000	Penalty Paid
Marwadi Shares al	National Stock Exc	03/03/2020	Penalty circular no.	100000	Penalty Paid

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

CS Ankit N. Thakrar

Whether associate or fellow

Associate Fellow

Certificate of practice number

16611

I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

KETAN
HARKISHAN
MARWADI

DIN of the director

00088018

To be digitally signed by

tushit
ghanshyambh
ai mangukiyai

Company Secretary

Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach

Attach

Attach

Attach

List of attachments

List of Shareholders.pdf
Annexure A Details of Board Meeting and
Copy of MGT-8.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of
Companies (Management and Administration) Rules, 2014]

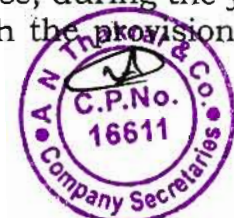
To,
The Members,
MARWADI SHARES AND FINANCE LIMITED
CIN: U65910GJ1992PLC017544
Office No 1 MCX Office, 17th Floor,
Unit No. 1 & 2 GIFTONE Tower,
S. C. Road, Zone- 5, GIFT CITY,
Gandhinagar-382355

We have examined the registers, records and books and papers of MARWADI SHARES AND FINANCE LIMITED (the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on 31st March, 2021. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the company, its officers and agents, we certify that:

- A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. during the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:
 1. Its status under the Act.
 2. Maintenance of registers/records & making entries therein within the time prescribed therefore.
 3. Forms and returns that are required to be filed during the year with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities were filed within the prescribed time, however certain forms were filed late with requisite additional fees as prescribed under the Act and rules made in this regards and some of the forms were filed under CFSS given by Ministry of Corporate Affairs due to spread of Covid-19 Pandemic.



4. Calling/ convening/ holding meetings of Board of Directors and its committees and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given (Certain Meetings were called with shorter notice in due compliance with the Act) and the proceedings have been properly recorded in the Minutes Book/registers maintained for the purpose and the same have been signed.
5. The Company has not closed its Register of Members during the year under review.
6. The Company has not given any advances/loans to its directors and/or persons, however loans/guarantees were given to companies referred in section 185 of the Act with the approval of the members of the Company in due compliance with the section 185 of the Act.
7. All the contracts/arrangements with related parties as specified in section 188 of the Act are at arms' length and omnibus approval by the Audit Committee and approval by the board has been given and availed approval of members also as and when required and same has been properly recorded in the registerMBP-4 maintained by the company in this regard.
8. The Company has not issued or allotted or bought back any securities during the period under scrutiny. During the year under review, there was no transfer/transmission of shares.
9. There is no need of keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares.
10. The company has not declared dividend during the year under review. The Company is not required to transfer any amount to the Investor Education and Protection Fund in accordance with section 125 of the Act during the year under review.
11. The audited financial statement and report of directors were signed as per the provisions of section 134 of the Act and as per sub - sections (3),(4) and (5) thereof.
12. The Board of Directors of the company is duly constituted. Changes in the Board of Directors and remuneration were made in due compliance with the provisions of the Companies Act, 2013. In furtherance, during the year under review, CEO is appointed in due compliance with the provisions of the Act.



13. Appointment of auditor was made in compliance as per the provisions of section 139 of the Act and rules made there under.
14. There was no instance occurred during the year which require approvals to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act. However, Company has taken approval from various other authorities as and when required such as stock exchanges for various matters wherein approvals were required. In Furtherance, penalty of Rs. 5.08 Lakhs is levied by National Stock Exchange of India Limited as an outcome of their observations during routine inspection of the Company's routine operation/process for the period of 2017-18 and same has been paid by the Company in due course.
15. As informed by the management the Company has not accepted deposits falling under the provision of Section 73 of the companies Act, 2013 during the year under review.
16. As informed by the management borrowings from the banks, Directors and others are within the limit prescribed by the company and during the year company have created/ modified/Satisfied charges in that respect, wherever applicable as per the provision of the Act.
17. The Company has provided loan/guarantees and has made investment falling under the provisions of section 186 of the Act which are within the limit approved by the Members of the Company and as per the provision of the said Section.
18. The Company has not altered any of the provisions of the Memorandum of Association and Articles of Association of the Company during the year under review.



For, A N Thakrar & Co.
Company Secretaries

Signature: *A. N. Thakrar*

Ankit N. Thakrar
Proprietor
ACS No.: 45563
C P No.: 16611

Place: Rajkot
Date: 29/07/2021
UDIN: A045563C000708610



ONE OF
INDIA'S LEADING
FINANCIAL SERVICES FIRM

MARWADI
FINANCIAL SERVICES

List of Shareholders as on March 31, 2021

Sr. No.	Name of Shareholders	Address	No. of shares held	Face value per Shares (in Rs/-)	Amount paid up (in Rs/-)	% of Share holding
1	Mr. Ketan H. Marwadi	'Marwadi Villa', Shree Ram Park Main Road, Near Central School, Kalawad Road, Rajkot – 360 005	8,50,410	10	85,04,100	8.5276
2	Mrs. Savitha K. Marwadi	'Marwadi Villa', Shree Ram Park Main Road, Near Central School, Kalawad Road, Rajkot – 360 005	8,50,400	10	85,04,000	8.5275
3	Mr. Deven H. Marwadi	Silver Heights Tower-B, Flat No-802, Floor No-8, 150 Feet Ring Road, Nana Mava Main Road, Rajkot – 360 004	8,50,410	10	85,04,100	8.5276
4	Mrs. Mira D. Marwadi	Silver Heights Tower-B, Flat No-B/702, Floor No-7, 150 Feet Ring Road, Nana Mava Circle, Rajkot – 360 004	8,50,400	10	85,04,000	8.5275
5	Mr. Sandip H. Marwadi	26, Star Villas Bunglows, Opp. Wockhardt Hospital, Kalawad Road, Rajkot – 360 005	8,50,409	10	85,04,090	8.5276
6	Mrs. Kanan S. Marwadi	26 Star Villas Bungalow, Opp. Wockhardt Hospital, Kalawad Road, Rajkot – 360 005	8,50,400	10	85,04,000	8.5275
7	M/s. Marwadi Metals and Minerals Private Limited	Marwadi Financial Plaza, Nana Mava Main Road, Off: 150 Feet Ring Road, Rajkot – 360 001	2,50,800	10	25,08,000	2.5149
8	M/s. Marwadi Investment Private Limited	Marwadi Financial Plaza, Nana Mava Main Road, Off: 150 Feet Ring Road, Rajkot – 360 001	19,19,963	10	1,91,99,630	19.2526
9	M/s. Marwadi Services Private Limited	Marwadi Financial Plaza, Nana Mava Main Road, Off: 150 Feet Ring Road, Rajkot – 360 001	24,30,000	10	2,43,00,000	24.3670
10	M/s. K. Uttamlal Exports Private Limited	196/198, Samuel Street, Bhagwan Bhavan, 1 st Floor, P.B.No. 5174, Mumbai – 400 009	1,36,963	10	13,69,630	1.3734

Marwadi Shares and Finance Limited

Corporate Office: 'Marwadi Financial Plaza',
Nana Mava Main Road, Off: 150ft Ring Road,
Rajkot-360 001, Gujarat

Phone +91-0281-71744000/6192000
Email: inquiry@marwadionline.in
Website: www.marwadionline.com

Regd. Off.: Office No 1, MCX Office, 17th Floor,
Unit No 1 & 2, GIFT ONE Tower, S C Road,
Zone- 5, GIFT CITY, Gandhinagar-382355 Gujarat

Member NSE, BSE, MCX, NCDEX, ICEX DP, NSDL, CDSL CIN U65910GJ1992PLC017544



ONE OF
INDIA'S LEADING
FINANCIAL SERVICES FIRM

MARWADI
FINANCIAL SERVICES

Sr. No.	Name of Shareholders	Address	No. of shares held	Face value per Shares (in Rs/-)	Amount paid up (in Rs/-)	% of Share holding
11	Mrs. Gopi R. Jagani	652-Takshshila Society 2, B/h Phulchhab Chowk, Rajkot – 360 001	1,32,326	10	13,23,260	1.3269
Total			99,72,481		9,97,24,810	100

Date: April 01, 2021
Place: Rajkot

For, Marwadi Shares and Finance Limited

[Tushit G. Mangukiya]
Company Secretary
Membership No. F5286



Marwadi Shares and Finance Limited

Corporate Office : 'Marwadi Financial Plaza',
Nana Mava Main Road, Off. 150ft Ring Road,
Rajkot-360 001, Gujarat

Phone +91-0281-71744000/6192000
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Regd. Off. Office No 1, MCX Office, 17th Floor,
Unit No 1 & 2, GIFT ONE Tower, S. C. Road,
Zone- 5, GIFT CITY, Gandhinagar-382355 Gujarat

Member . NSE, BSE, MCX, NCDEX, ICEX. DP: NSDL, CDSL. CIN U65910GJ1992PLC017544

Annexure-A

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

B. BOARD MEETINGS

Number of meetings held

23

S. No.	Date of Meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
13	01/09/2020	8	8	100
14	14/09/2020	8	7	87.5
15	20/10/2020	8	8	100
16	09/11/2020	8	8	100
17	13/11/2020	8	8	100
18	08/12/2020	8	8	100
19	17/12/2020	8	8	100
20	08/01/2021	8	7	87.5
21	27/01/2021	9	9	100
22	02/03/2021	9	9	100
23	30/03/2021	9	8	88.89

C. COMMITTEE MEETINGS

Number of meetings held

13

S. No.	Type of meeting	Date of Meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
11	Nomination and Remuneration Committee	22/08/2020	4	4	100
12	Nomination and Remuneration Committee	14/12/2020	4	4	100
13	Nomination and Remuneration Committee	07/01/2021	4	4	100