

Investor Complaint Data (For Stock Broker)

Data for month ended on February, 2023

Sr No	Recieved From	Carried forward from previous month	Recieved during the month	Total Pending	Resolved*	Pending at the end of the month**		Average resolution on time^ (In Days)
						Pending for less than 3 month	Pending for more than 3 month	
1	Directly from Investors	-	-	-	-	-	-	-
2	SEBI (Scores)	-	1	-	1	-	-	3
3	Stock Exchanges	-	-	-	-	-	-	-
4	Other Sources (If Any)	-	-	-	-	-	-	-
5	Grand Total	-	1	-	1	-	-	-

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

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Trend of monthly disposal of complaints

Sr. No.	Month	Carried Forward from previous month	Received	Resolved*	Pending**
1	Apr-22	-	1	1	-
2	May-22	-	4	4	-
3	Jun-22	-	2	2	-
4	Jul-22	-	4	4	-
5	Aug-22	-	3	2	1
6	Sep-22	1	-	1	-
7	Oct-22	-	-	-	-
8	Nov-22	-	-	-	-
9	Dec-22	-	1	-	1
10	Jan-23	1	1	2	-
11	Feb-23	-	1	1	-
12	Mar-23				
Grand Total			17	17	

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

Trend of annual disposal of complaints

Sr. No.	Year	Carried Forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
2	2018-19	-	8	8	-
3	2019-20	-	6	6	-
4	2020-21	-	6	3	3
5	2021-22	3	12	15	-
Grand Total			32	32	

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Trend of annual disposal of complaints

Sr. No.	Year	Carried Forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
2	2018-19	-	8	8	-
3	2019-20	-	6	6	-
4	2020-21	-	6	3	3
5	2021-22	3	12	15	-
Grand Total			32	32	